

Methodology for Measuring Performance of State Monitoring Goals and State Enrollment Targets

In FY 2001, using validated state reported data or CMS Buy-In data, CMS will compare the number of enrolled dual eligibles in the state to the state enrollment goal or the state enrollment target. In FY 2001, the numerical monitoring goal is 102% of the Sept. 2000 enrolled and the numerical target is 104% of the number enrolled on Sept. 30, 2000.

If the state increase in enrollment for the year is greater than the state monitoring goal or target, the state will not be considered for a state-specific enrollment target in the next year. If a state's enrollment increase is less than the state's goal or target, CMS will review the state's performance against a number of other factors (filters) to determine if a state-specific enrollment target should be set for the state.

These filters include:

1. **Three Year Enrollment Growth Rate** CMS will examine the state's three year state enrollment growth rate for duals. If a state's three year enrollment growth rate for duals is at or greater than the national rate, the state will not receive a state specific target.
2. **The Dual Eligible Penetration Rate** The percentage of potential beneficiaries who are participating in a dual eligible program. This percentage will be derived by comparing the state reported enrollment numbers against the total number of potential eligibles, as calculated using the methodology developed by the Actuarial Research Corporation (ARC). Once a state-specific percentage is determined, the state rate will be compared to the national estimate of potential duals that are enrolled. If the state rate is at or above the national rate, the state will not receive a state-specific target
3. **Allotment for the Qualifying Individual Program** If a state has utilized 90 percent of the allotment that is available for the Qualifying Individual Program, the state will not receive a state-specific target. As rationale, it is assumed that the state has undertaken significant outreach and enrollment efforts to enroll this population, and would have identified individuals eligible for the other programs in the course of doing so.
4. **Administrative Simplification and Outreach Activities** If a state does not meet one of the first three screens, CMS will examine the state's activities directly related to enrollment of the dual eligible population. To be considered, these activities must be reported to the CMS regional offices in the quarter following in which they occurred. A state that has made a good faith effort to conduct a number of activities directly related to the enrollment of the dual eligible population would not receive a state-specific target.